

Form **13449**
(May 2015)

Department of the Treasury - Internal Revenue Service

**Agreement to Assessment and Collection of Penalties Under
31 USC 5321(a)(5) and 5321(a)(6)**

Name of account holder

Catherine Reyes

Social security number (SSN) or Employer identification number (EIN)

██████████ 3741

Address of account holder (Number, Street, City or Town, State, ZIP code)

72 DARTMOUTH ST FOREST HILLS, NY 11375-5142

Definition of Penalty Statutes

1. **Foreign Financial Agency Transaction Violation** — willful failure to meet recordkeeping requirements and/or report a foreign account on FinCEN Report 114, Report of Foreign Bank and Financial Accounts (FBAR): 31 USC 5321(a)(5) and 31 CFR sections 1010.350, 1010.420 and 1010.820(g)(2)
2. **Foreign Financial Agency Transaction Violation** — non-willful failure to meet recordkeeping requirements and/or report a foreign account on FinCEN Report 114, Report of Foreign Bank and Financial Accounts (FBAR): 31 USC 5321(a)(5) and 31 CFR sections 1010.350, 1010.420 and 1010.820(g)(2)
3. **Negligent Failure to Report:** 31 USC 5321(a)(6) and 31 CFR sections 1010.350 and 1010.820(h)
4. **Negligent Failure to Meet Recordkeeping Requirements:** 31 USC 5321(a)(6) and 31 CFR sections 1010.420 and 1010.820(h)
5. **Pattern of Negligent Activity:** 31 USC section 5321(a)(6)(B)

TOTAL proposed penalty (from Page 2 of 2)

\$ 420051

Signature Authorization

I consent to the immediate assessment and collection of the penalty amount specified above plus any interest and penalty as provided by law.

Your signature

Date signed

ce 

Oct 9, 2019

Representative's signature (valid only with Power of Attorney attached)

Date signed

Name of entity (for corporations, partnerships, trusts, etc., when EIN specified above)

Signature of authorized officer	Title	Date signed
Signature of authorized officer	Title	Date signed
Name of examiner	Employee ID number	Date (mmddyyyy)

Office of examiner

Name of supervisor	Employee ID number	Date (mmddyyyy)
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Office of supervisor

Exhibit
KK

917
344
1297

344
1335

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917
344
1335

Foreign Account Penalty Information

Name of account holder Catherine Reyes	Account holder ID (EIN or SSN) [REDACTED] 3741
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Foreign Account 1

Calendar year 2010	Foreign Bank, Institution, or Agent(s) Lloyds TSB Bank	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es)) <input checked="" type="checkbox"/> (1) <input type="checkbox"/> (2) <input type="checkbox"/> (3) <input type="checkbox"/> (4) <input type="checkbox"/> (5)
Maximum value of account \$ 2161500	Foreign account number(s) [REDACTED] 250	Amount of penalty \$ 140017

Foreign Account 2

Calendar year 2011	Foreign Bank, Institution, or Agent(s) Lloyds TSB Bank	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es)) <input checked="" type="checkbox"/> (1) <input type="checkbox"/> (2) <input type="checkbox"/> (3) <input type="checkbox"/> (4) <input type="checkbox"/> (5)
Maximum value of account \$ 2113813	Foreign account number(s) [REDACTED] 250	Amount of penalty \$ 140017

Foreign Account 3

Calendar year 2012	Foreign Bank, Institution, or Agent(s) Lloyds TSB Bank	Proposed penalty per "Definition of Penalty Statutes" (Check applicable box(es)) <input checked="" type="checkbox"/> (1) <input type="checkbox"/> (2) <input type="checkbox"/> (3) <input type="checkbox"/> (4) <input type="checkbox"/> (5)
Maximum value of account \$ 2113485	Foreign account number(s) [REDACTED] 250	Amount of penalty \$ 140017

TOTAL proposed penalty (Enter here and on Page 1 of 2) \$ 420051